



SO-TN-CB-CA-0001

**CATDS LEVEL 3 DATA PRODUCT  
DESCRIPTION  
- Soil Moisture and Brightness Temperature -**

SMOS team

Issue : 4a  
Date : 2021/03/09

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# Technical Note - CATDS LEVEL 3 DATA PRODUCT DESCRIPTION - Soil Moisture and Brightness Temperature -

Project code SO-TN-CB-CA-0001

Version 4a

Date 2021/03/09

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**DOCUMENT STATUS SHEET**

| Version /Rev . | Date       | Pages | Changes   | Visa |
|----------------|------------|-------|---|------|
| Draft          | 10/11/2011 |       | First draft   |      |
| 1a             | 16/04/2012 |       | Final report v2.44  |      |
|                |            |       | Major update  |      |
| 1b             | 24/10/2012 |       | Modifications of the path in the ftp website<br>(Â§ where to find the products)           |      |
|                |            |       | v2.48   |      |
| 1c             | 23/03/13   |       | Replacement of "P11p", "P1", etc.<br>by "daily products", "3-day products", etc.          |      |
|                |            |       | v2.52   |      |
|                |            |       | Update with v2.52: Addition of 2 new fields in the<br>daily product (M_Ava0 and Rfi_Prob) |      |
| 2a             | 24/04/13   |       | UDP part removed as no more distributed   |      |
|                |            |       | v2.52   |      |
| 2b             | 11/09/14   |       | Add table 3 science flag  |      |
| 2c             | 12/09/14   |       | Ease Grid2, V2.7.2  |      |
| 3              | 03/03/21   |       | Update L3TB , new reprocessing RE07   |      |
| 4a             | 21/07/21   |       | Add simplified SM + Update SM new reprocessing RE07                                       |      |



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|---|---|--|
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| <p>SO-TN-CB-CA-0001</p> <p>Issue : 4a<br/>Date : 2021/03/09</p>                   | <p><b>CATDS LEVEL 3 DATA PRODUCT<br/>DESCRIPTION</b></p> <p><b>- Soil Moisture and Brightness Temperature -</b></p> | <p><b>SMOS team</b></p> <p><b>Page 4 /33</b></p>                                   |

- RD 1 Algorithm Theoretical Baseline Document L3 SM: ATBD CATDS SM L3 SO-TN-CBSA-GS-0029
- RD 2 Algorithm Theoretical Baseline Document L2 SM: SM ATBD SO-TN-ESL-SM-GS-0001(3.h)
- RD 3 Data Processing Model SM PC2: CAT-DPM-CTSM-00013-CG\_13
- RD 4 Data Processing Model SM L3TB: CAT-DPM-CTL3TB-00061-CG
- RD 5 Spécification Logicielle : CAT-SL-CT-00009-CG\_10
- RD 6 SMOS Level 1 and Auxiliary Data Products Specifications: SO-TN-IDR-GS-0005



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## ACRONYMS

|           |  |
|-----------|--|
| ADF       | Auxiliary Data File  |
| ATBD      | Algorithm Theoretical Baseline Document                                    |
| CATDS     | Centre Aval de Traitement des Donn es SMOS                                 |
| CESBIO    | Centre d'Etudes Spatiales de la Biosph re                                  |
| CCFSMF    | Processor Configuration parameters for L2 Soil Moisture, full polarisation |
| DPM       | Data Processing Model  |
| DQX       | Data Quality Index   |
| EASE      | Equal-Area Scalable Earth Grid   |
| ECMWF     | European Centre for Medium-range Weather Forecasting                       |
| FL        | Flag   |
| LSB / MSB | Lowest / Most Significant Bit *  |
| MD        | Cardioid Model   |
| RFI       | Radiometric Frequency Interference   |
| SM        | Soil Moisture  |
| SMOS      | Soil Moisture and Ocean Salinity   |
| Tau       | Vegetation Optical thickness   |
| TB/BT     | Brightness Temperature   |
| TEC       | Total Electron Content   |

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# 1 INTRODUCTION

The ESA's (European Space Agency) SMOS (Soil Moisture and Ocean Salinity) mission, operating since November 2009, is the first satellite dedicated to measuring surface soil moisture and ocean salinity. The CNES (Centre National d'Etudes Spatiales) has developed a ground segment for the SMOS data, known as the CATDS (Centre Aval de Traitement des Données SMOS). Operational since June 2011, it provides data referred to as level 3 products at different temporal resolutions: daily products (see Figure 1), 3 day global products insuring a complete coverage of the Earth surface, 10-day composite products, and monthly averaged products. For each day, there are at most 15 ascending (respectively descending) half-orbits. It always corresponds to the local equator crossing solar time 6:00 am (resp. 6:00 pm).

Like the AMSR-E L3 data, these products are presented in the NetCDF format on the EASE (Equal Area Scalable Earth) grid version 2 with a  $\sim 25$  km cylindrical projection. On equal-area maps, the grid is changing with latitude: a circle placed anywhere on the map always covers the same area on the globe (here  $625 \text{ km}^2$ ), and the product of the scale  $h$  along a meridian and the scale  $k$  along a parallel is always equal to one. Its dimension, i.e. the aspect ratio  $k/h$  measures the distortion of the shape. The global EASE grid is characterized by  $h=k$  at a latitude of  $\pm 30^\circ$ . It insures a minimum mean angular distortion over continents. For more information on the EASE grid see the ATBD L3SM [1]. An inversion algorithm is applied to the set of the brightness temperatures from global daily L1C product. This processing is an iterative scheme performed in order to minimize a quadratic cost function. It is exactly derived from the L2 SM algorithm in the principles. The major enhancement at CATDS concerns the use of multi-orbit retrieval: several revisits are taken into account simultaneously, one chosen in the three days before the reference day and another in the three days after.

## ABOUT THE PRODUCTS

There are seven different types of Level 3 products distributed: the Brightness Temperature product (named L3TB), the one day global map of soil moisture values (named daily products), the global soil moisture products, 3-day global map (named 3-day products for Surface Soil Moisture), the dielectric constant products, 3-day global map (named 3-day products for Dielectric Constant), the global soil moisture products, 10-day global map (named 10-day products), and the global soil moisture products, monthly global map (named monthly products).

## WHERE TO FIND THE PRODUCTS

All the products can be found on the CATDS website (<http://catds.fr>), in an organisation depending on the type of product. The Level 3 Brightness Temperature, the daily products and all the aggregated products (3-day, 10-day and monthly products) are stored following the organisation shown on figures 2 to 8.

FTP access

The CATDS-CPDC products are freely available on FTP :

ftp ftp.ifremer.fr

user : ext-catds-cpdc

password : catds2010

or

ftp://ext-catds-cpdc:catds2010@ftp.ifremer.fr/

## VERSION OF THE PRODUCTS

There are several changes in the products for each update of the version, some have minor impacts, some have more important ones. This document will be updated accordingly.

*This document is written for the V330 We strongly recommend to use the last set of reprocessed data which are in the folder "RE07" on the CATDS website.*

e.g: SM\_OPER\_MIR\_CLF3MD\_220130201T000000\_20130228T235959\_330\_001\_7 in this product, the version of the processor is V330.

## **Overview**

Maps of the various products can be seen here :  
<https://maps.catds.fr/>

## 2 LEVEL 3 BRIGHTNESS TEMPERATURE PRODUCT - L3TB

### 2.1 Product Description

The Level 3 Brightness Temperature product is a daily global polarised brightness temperature product, arranged by incidence angle values, in full polarisation. It includes all brightness temperatures acquired that day, transformed to ground polarisation reference frame (H and V polarisation), binned ( $5^\circ$ ) and averaged into fixed angle classes. Bin 9 corresponds to SMAP incidence angle ( $40^\circ \pm 2^\circ$ ), bin 15 is a place holder for the time being. Ascending and descending orbits are processed separately and only in full polarisation.

### 2.2 Naming Convention

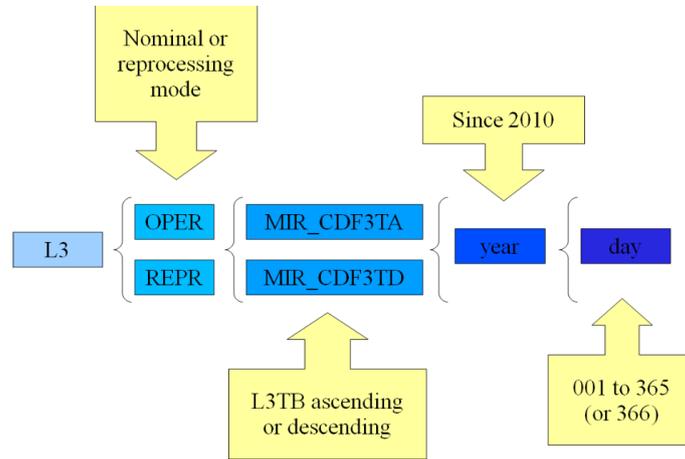
All the files are named as follows:  
"SM\_OPER\_MIR\_CDF3Tx\_yyyymmddThhmmss\_YYYYMMDDTHHMMSS\_vvv\_ccc\_n", where the conventions are very close to those of SMOS Level 2.

Table 1: Naming Description of the L3 Brightness Temperatures Product

|                |   |
|----------------|---|
| SM             | in this specific case, it stands for the SMOS mission   |
| OPER<br>REPR   | file class: indicates whether the data is produced in a nominal or a reprocessing mode  |
| MIR            | file category: MIRAS, as the name of the instrument   |
| CDF3Tx         | C = CATDS<br>D = for daily nominal product<br>F = for full polarisation<br>3T = Level 3 Brightness Temperature Products<br>x = "A" for ascending orbit and "D" for descending orbit |
| yyymmddThhmmss | sensing start time for the data contained in the product<br>With yyyy year<br>mm month<br>dd day of the month<br>hh hour<br>mm minutes<br>ss seconds                                |
| vvv            | version number of the processor generating the product  |
| ccc            | file counter, used to make distinction among products having all other filename identifiers identical: the higher the file counter, the more recent the product                     |
| n              | processing site (C-PDC=7, C-EC SM=8, C-EC OS=9)   |

### 2.3 Where to find these products

These products can be found on the CATDS website (<http://catds.fr>),  
<ftp://ext-catds-cpdc:catds2010@ftp.ifremer.fr/>  
organised as follows:  
SM/GRIDDED/L3/OPER/product type/year/day of the year, where product type can be MIR\_CDF3TA or MIR\_CDF3TD depending on orbits type, and day of the year is between 001 (1st January) and 365/366 (31st December).



## 2.4 Product content

Table 2: Content of the L3 Brightness Temperatures Product

| <i>Variable Name</i>   | <i>Description</i>  |
|--|---|
| lat and lon  | Geographic coordinates: Vectors of latitudes and longitudes (in degree) of the grid point. The dimensions of "lat" and "lon" are 584x1 and 1388x1 respectively, corresponding to the 584x1388 nodes of the EASE version 2, 25km cylindrical grid. |
| inc and dinc   | Centre (inc) and width (dinc) of each class of angle in degree<br>Their dimensions are 15x1   |
| <i>The 2 following parameters are presented as a 584x1388 matrix</i>                         |   |
| X_Swath  | Abscissa of the dwell line (in km): The sign of the value is relative to the direction of the satellite. It is positive if it is to the right and negative if it is to the left of the satellite subtrack   |
| Grid_Point_Mask  | Flag indicating land/sea USGS content, coastline distance, and Ice content.<br>See at the end of the document for a complete description of this flag   |
| <i>All the following parameters have 3 dimensions: 15x584x1388</i>                           |   |
| BT_H, BT_V<br>BT_3, BT_4   | Averaged brightness temperature (in Kelvin) by angle class in H-pol, V-pol, 3rd Stokes parameter and 4th Stokes parameter respectively, over current Earth fixed grid point, obtained by rotating the L1c data                                    |
| Pixel_Radiometric_Accuracy_H<br>Pixel_Radiometric_Accuracy_V<br>Pixel_Radiometric_Accuracy_3 | Error accuracy measurement (in Kelvin) associated to the averaged brightness temperature by class of angle presented in the previous field, extracted in  |

*Continued on next page*

|  |  |
|--|--|
| Pixel_Radiometric_Accuracy_4   | the direction of the pixel.  |
| Pixel_BT_Standard_Deviation_H<br>Pixel_BT_Standard_Deviation_V<br>Pixel_BT_Standard_Deviation_3<br>Pixel_BT_Standard_Deviation_4 | Brightness temperature standard deviation (in Kelvin) by class of angle.   |
| Incidence_Angle  | Incidence angle value (in degree) by class of angle corresponding to the measured BT values over current Earth fixed grid point  |
| Azimuth_Angle  | Azimuth angle value (in degree) by class of angle corresponding to the measured brightness temperature value over current Earth fixed grid point   |
| Footprint_Axis1<br>Footprint_Axis2   | Elliptical averaged footprint major (and minor respectively) semi-axis value by class of angle. Averaged direction cosine for Xi and Eta, respectively, by angle class                                       |
| Xi and Eta   | Xi and Eta referred to the antenna frame   |
| Nviews   | Number of brightness temperature views used to compute angle class averages.   |
| Days<br>UTC_Seconds<br>UTC_Microseconds  | Measurement acquisition time in UTC at which the averaged brightness temperature was taken<br>Day count begins on the 1st of January 2000<br>seconds and microseconds are relative to the current day in UTC |
| Nb_RFI_Flags   | Number of views flagged as potentially contaminated by RFI   |
| Nb_SUN_Flags   | Number of views flagged as contaminated by the sun   |

## 3 SIMPLIFIED DAILY SOIL MOISTURE

### 3.1 Product Description

The S simplified daily product, is a lighter version of the daily product with 2 new fields that are the number of TB used to perform the retrieval and the RFI

### 3.2 Naming Convention

All the files are named as follows:  
"SM\_OPER\_MIR\_CLF3Sx\_yyyymmddThhmmss\_YYYYMMDDTHHMMSS\_vvv\_ccc\_n", where the conventions are very close to those of SMOS Level 2.

Table 3: Naming Description of the Daily SM-VOD Product

|                 |  |
|-----------------|--|
| SM              | in this specific case, it stands for the SMOS mission  |
| OPER<br>REPR    | file class: indicates whether the data is produced in a nominal or a reprocessing mode   |
| MIR             | file category: MIRAS, as the name of the instrument  |
| CLF3Sx          | C = CATDS<br>L = Product over Land<br>F = for full polarisation<br>3S = Level 3, S stands for simplified, i.e. daily global maps<br>x = "A" for ascending orbit and "D" for descending orbit |
| yyyymmddThhmmss | sensing start time for the data contained in the product<br>With yyyy year<br>mm month<br>dd day of the month<br>hh hour<br>mm minutes<br>ss seconds   |
| vvv             | version number of the processor generating the product   |
| ccc             | file counter, used to make distinction among products having all other filename identifiers identical: the higher the file counter, the more recent the product                              |
| n               | processing site (C-PDC=7, C-EC SM=8, C-EC OS=9)  |

### [3.3 Where to find these products](#)

### [3.4 Product content](#)

Table 4: Content of the L3 Brightness Temperatures Product

| <i>Variable Name</i>   | <i>Description</i>  |
|--|---|
| lat and lon  | Geographic coordinates: Vectors of latitudes and longitudes (in degree) of the grid point. The dimensions of "lat" and "lon" are 584x1 and 1388x1 respectively, corresponding to the 584x1388 nodes of the EASE version 2, 25km cylindrical grid. |
| <i>The 2 following parameters are presented as a 584x1388 matrix</i> |   |
| X_Swath  | Abscissa of the dwell line (in km): The sign of the value is relative to the direction of the satellite. It is positive if it is to the right and negative if it is to the left of the satellite subtrack   |
| Days<br>UTC_Seconds  | Measurement acquisition time in UTC at which the averaged brightness temperature was taken, in Day count begins on the 1st of January 2000 seconds are relative to the current day in UTC   |

*Continued on next page*

|                           |   |
|---------------------------|---|
| Soil_Moisture             | Soil Moisture (m3/m3)   |
| Soil_Moisture_Dqx         | SM Data Quality Index, is a measure of the uncertainty due to SMOS radiometric accuracy   |
| Optical_Thickness_Nad     | VOD (-) is the $\tau$ parameter in the Radiative Transfert Model  |
| Optical_Thickness_Nad_Dqx | VOD Data Quality index, is the uncertainty on the derived VOD due to SMOS radiometric accuracy  |
| Science_Flags             | is binary sequence describing conditions  |
| Mean_FM0_FNO              | Fraction of Nominal land cover over SMOS footprint, based on IGBP maps with the antenna pattern being applied   |
| Mean_FM0_FFO              | Fraction of Forest land cover over SMOS footprint, based on IGBP maps with the antenna pattern being applied  |
| Number_Degree_Freedom     | is the number of degree of freedom used for the retrieval<br>is the number of TB - number of free parameters  |
| M_Ava                     | is the number of TB (after filtering) used for that orbits  |
| Ratio_RFI                 | is the fraction of contaminated TB for that day<br>is defined as the some of NRFIX and NRFIY for the 3 orbits<br>devided by the number of initial TB (acquired, i.e before filtering) |
| Chi_2                     | Statistic that measure the goodness of the fit<br>modeled TB with derived SM and VOD versus SMOS TB   |
| Chi_2_P                   | Chi 2 probability   |
| Rfi_Prob                  | RFI probability computed over a 12-day time window  |

#### Science Flags

This parameter is coded in 32 bits: the reported value has to be converted to a binary number.

Table 5: Science\_Flags

| <i>Bit(1 - &gt; (LSB)</i> | <i>Tag Name</i> | <i>Type</i>   |
|---------------------------|-----------------|---|
| 1                         | FL_Non_Nom      | This flag is set to 1 if any of the flags in Bits 3 to 10 and 12 to 20 in this table is raised      |
| 2                         | FL_Scene_T      | This flag is set to 1 when either FL_Non_Nom or FL_Nominal is raised                                |
| 3                         | FL_Barren       | This flag is set to 1 when the radiometric fraction of Barren surface is > TH_SCENE_FEB (5.00%)     |
| 4                         | FL_Topo_S       | This flag is set to 1 if the radiometric fraction of Strong Topography is > TH_SCENE_FTS (5.00%)    |
| 5                         | FL_Topo_M       | This flag is set to 1 if the radiometric fraction of Moderate Topography is > TH_SCENE_FTM (10.00%) |

*Continued on next page*

|    |               |  |
|----|---------------|--|
| 6  | FL_OW         | This flag is set to 1 if the radiometric fraction of Open Water is > TH_SCENE_FOW (5.00%)  |
| 7  | FL_Snow_Mix   | This flag is set to 1 if the radiometric fraction of Mixed Snow is > TH_SCENE_FSN (5.00%)  |
| 8  | FL_Snow_Wet   | This flag is set to 1 if the radiometric fraction of Wet Snow is > TH_SCENE_FSW (5.00%)  |
| 9  | FL_Snow_Dry   | This flag is set to 1 if the radiometric fraction of Dry Snow is > TH_SCENE_FSD (5.00%)  |
| 10 | FL_Forest     | This flag is set to 1 if the radiometric fraction of Forest is > TH_SCENE_FFO (10.00%)   |
| 11 | FL_Nominal    | This flag is set to 1 if the radiometric fraction of Nominal is > TH_SCENE_FNO (10.00%)  |
| 12 | FL_Frost      | This flag is set to 1 if the radiometric fraction of Frost is > TH_SCENE_FRZ (5.00%)   |
| 13 | FL_Ice        | This flag is set to 1 if the radiometric fraction of Total Ice is > TH_SCENE_FTI (5.00%)   |
| 14 | FL_Wetlands   | This flag is set to 1 if the radiometric fraction of Wetlands is > TH_SCENE_FWL (5.00%)  |
| 15 | FL_Flood_Prob | This flag is set to 1 if the sum of the ECMWF value for Large_Scale_Precipitation and Convective_Precipitation (AUX_ECMWF_) is > threshold TH_FLOOD (20mm/h)                           |
| 16 | FL_Urban_Low  | This flag is set to 1 if the radiometric fraction of Urban is > TH_SCENE_FUL (10.00%)  |
| 17 | FL_Urban_High | This flag is set to 1 when the radiometric fraction of Urban is > TH_SCENE_FUH (30.00%)  |
| 18 | FL_Sand       | This flag is set to 1 if the mean sand fraction is > TH_Sand (95.00%)<br>The mean sand fraction is computed as the non-weighted average of the sand % from the Soil Properties product |
| 19 | FL_Sea_Ice    | This flag is set to 1 if the radiometric fraction of Sea Ice surface type, which is determined by the sea ice cover of the ECMWF database, is > TH_Sea_Ice (20.00%).                   |
| 20 | FL_Coast      | This flag is set to 1 when the Wetlands fraction in at least one DFFG cell in the working area is above zero and the Land Cover Class reports an intertidal area.                      |
| 21 | FL_Occur_T    | This flag is set to 1 if any of the FL_Litter, FL_PR, or FL_Intercep is raised, indicating the occurrence of a special event during the retrieval.                                     |
| 22 | FL_Litter     | This flag is set to 1 if the mean litter opacity is above the threshold TH_TAU_Litter (0.10 neper)   |
| 23 | FL_PR         | This flag is set to 1 if the interception index is below the threshold TH_PR (0.026)   |
| 24 | FL_Intercep   | This flag is set to 1 if the ECMWF parameter Skin_Reservoir_Content (SCR) is > TH_Intercep (0.02)  |
| 25 | FL_External   | This flag is set to 1 if one of the flags FL_Rain, FL_TEC is raised or N_Sky > 0   |
| 26 | FL_Rain       | This flag is set to 1 if the sum of the ECMWF parameters Large_Scale_Precipitation and Convective_Precipitation (LSP and CP) is > TH_RAIN (10.00 mm/h)                                 |
| 27 | FL_TEC        | This flag is raised if the TEC (Total Electric Constant) content   |

*Continued on next page*

of the first snapshot contributing to the brightness temperature measurements to the last retrieval is  $> TH\_TEC$  ( $95 \times 10^{16}$  electrons/m<sup>2</sup>).

If no retrieval has been attempted then the brightness temperatures are those used to compute MVAL0

|       |                      |   |
|-------|----------------------|---|
| 28    | FL_TAU_FO            | This flag is set to 1 if the mean forest opacity is $> TH\_SCENE\_TAU\_FO$ (1.0 neper)  |
| 29    | FL_WINTER_FOREST     | Flag indicating that the forest case has been selected by the decision tree despite the fact that the mean fraction is mainly in the FNO case |
| 30    | FL_DUAL_RETR_FNO_FFO | dual retrieval performed on the FNO and FFO fractions   |
| 31-32 |                      | Two spare bits  |

## 4 DAILY SOIL MOISTURE

### 4.1 Product Description

This product is the one day product, and contains filtered data. The best estimation of soil moisture and dielectric constant are selected (based on the minimisation of the DQX) for each node when several multi-orbit retrievals are available for a given day. A detection of particular events is also performed in order to flag the data aggregation of SMOS orbits. Ascending and descending orbits are still processed separately. All the aggregated products (3-day, 10-day and monthly products) are based on these daily maps.

### 4.2 Naming Convention

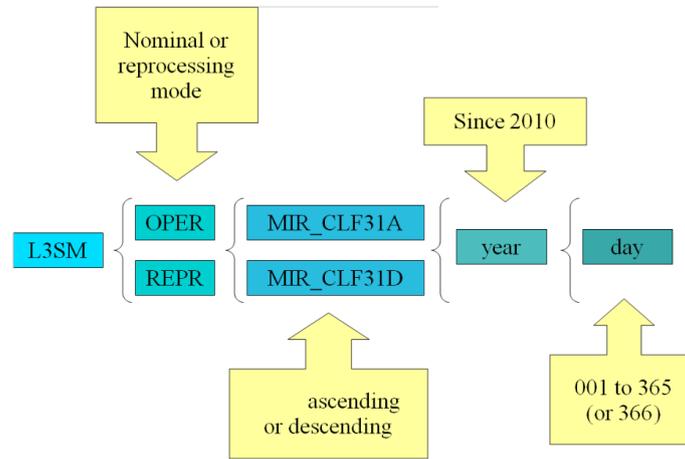
All the files are named as follows:  
"SM\_OPER\_MIR\_CLF31x\_yyyymmddThhmmss\_YYYYMMDDTHHMMSS\_vvv\_ccc\_n", where the conventions are very close to those of SMOS Level 2.

Table 6: Naming Description of the Daily SM-VOD Product

|                |   |
|----------------|---|
| SM             | in this specific case, it stands for the SMOS mission   |
| OPER<br>REPR   | file class: indicates whether the data is produced in a nominal or a reprocessing mode  |
| MIR            | file category: MIRAS, as the name of the instrument   |
| CLF31x         | C = CATDS<br>L = Product over Land<br>F = for full polarisation<br>31 = Level 3, 1 stands for 1 day, i.e. daily global maps<br>x = "A" for ascending orbit and "D" for descending orbit |
| yyymmddThhmmss | sensing start time for the data contained in the product<br>With yyyy year<br>mm month<br>dd day of the month<br>hh hour<br>mm minutes<br>ss seconds                                    |
| vvv            | version number of the processor generating the product  |
| ccc            | file counter, used to make distinction among products having all other filename identifiers identical: the higher the file counter, the more recent the product                         |
| n              | processing site (C-PDC=7, C-EC SM=8, C-EC OS=9)   |

### 4.3 Where to find these products

These products can be found on the CATDS website (<http://catds.fr>), organised as follows:  
SM/GRIDDED/L3SM/OPER/product type/year/day of the year,  
where product type can be MIR\_CLF31A or MIR\_CLF31D depending on orbits type, and day of the year is between 001 (1st January) and 365/366 (31st December).



## 4.4 Product content

Table 7: Content of the L3 Daily SM Product

| <i>Variable Name</i>   | <i>Description</i>   |
|--|--|
| lat and lon  | Geographic coordinates: Vectors of latitudes and longitudes (in degree) of the grid point. The dimensions of "lat" and "lon" are 584x1 and 1388x1 respectively, corresponding to the 584x1388 nodes of the EASE version 2, 25km cylindrical grid.          |
| <i>The 2 following parameters are presented as a 584x1388 matrix</i> |  |
| Mean_Acq_Time_Days<br>Mean_Acq_Time_Seconds                          | Time of measurement: Day and second of mean acquisition time per DGG node, corresponding to the selected soil moisture value. Day count begins on the 1st of January 2000 AD, seconds and microseconds are relative to the current day in UTC.             |
| Mean_Acq_Time_Days2<br>Mean_Acq_Time_Seconds2                        | Time of measurement in UTC: Day and the second of mean acquisition time per DGG node, corresponding to the selected dielectric constant value. Day count begins on the 1st of January 2000 seconds and microseconds are relative to the current day in UTC |
| Soil_Moisture<br>Soil_Moisture_Dqx                                   | is the retrieved soil moisture value (in m <sup>3</sup> /m <sup>3</sup> )<br>its associated data quality index (in m <sup>3</sup> /m <sup>3</sup> )  |
| Optical_Thickness_Nad<br>Optical_Thickness_Nad_Dqx                   | The nadir optical thickness of the vegetation layer (in neper) corresponding to the derived soil moisture values<br>its associated data quality index  |
| Optical_Thickness_Nad2<br>Optical_Thickness_Nad2_Dqx                 | The nadir optical thickness of the vegetation layer (in neper) corresponding to the derived dielectric constant values<br>its associated quality index   |
| Dielectric_Const<br>Dielectric_Const_Dqx                             | Real and imaginary parts of the dielectric constant retrieved from the cardioid model (MD) in Fm <sup>-1</sup> , and their associated data quality index.  |

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*Dimensions of this parameter are 584x1388x2  
(for real and imaginary parts).*

|  |  |
|--|--|
| Hr<br>Hr_Dqx   | Retrieved surface roughness parameter<br>its associated data quality index   |
| Scattering_Albedo_H<br>Diff_Albedos<br>Dqx   | Spare, not retrieved at the moment   |
| Surface_Temperature<br>Surface_Temperature_Dqx                                       | Retrieved surface temperature (in Kelvin)<br>its associated quality index corresponding to<br>the derived soil moisture values.  |
| Temp_STL1<br>Temp_SKT  | ECMWF temperatures used for the 0-7 cm soil layer and the soil surface<br>respectively, corresponding to the derived soil moisture   |
| S_Tree_1   | Permanent surface category: This represents the considered retrieval case<br>of the decision tree, i.e. the main surface cover type (see ATBD L2SM)<br>* Only 11 and 12 are of interest for soil moisture retrieval.<br><ol style="list-style-type: none"><li>1. all open water</li><li>2. heterogeneous open water</li><li>3. strong topography pollution</li><li>4. soft topography pollution</li><li>5. all wet snow</li><li>6. all mixed snow</li><li>7. wet snow pollution</li><li>8. mixed snow pollution</li><li>9. all frost</li><li>10. frost pollution</li><li>11. forest cover</li><li>12. soil cover</li><li>13. all wetlands</li><li>14. all barren</li><li>15. all ice</li><li>16. all urban</li><li>17. heterogeneous</li></ol> |
| Tb_Asl_Theta_B_H<br>Tb_Asl_Theta_B_H_Dqx<br>Tb_Asl_Theta_B_V<br>Tb_Asl_Theta_B_V_Dqx | Brightness temperature At Surface Level in Kelvin<br>corrected for sky/atmosphere contribution<br>provided at the Earth reference frame<br>Computed using the forward model at the specific incidence angle<br>theta_B of 42.5°, for H and V polarisations<br>and their associated data quality index.   |
| Tb_Toa_Theta_B_X<br>Tb_Toa_Theta_B_X_Dqx<br>Tb_Toa_Theta_B_Y<br>Tb_Toa_Theta_B_Y_Dqx | Brightness temperature at the Top Of the Atmosphere in Kelvin<br>corrected for sky/atmosphere contribution and transferred<br>to antenna reference frame (X, Y polarisations)<br>using the Faraday and geometric rotation computed from the forward models<br>at the specific incidence angle theta_B of 42.5°<br>and their associated data quality index  |

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*Continued on next page*

|  |  |
|--|--|
| Science_Flags  | They are the flags associated with the soil moisture value (see table)<br>This parameter is coded in 32 bits: the reported value has to be converted to a binary sequence  |
| Fno and Ffo  | Fractions of nominal (i.e. low vegetation and sand) and forest as radiometric fractions or each node corresponding to the derived SM.  |
| M_Ava0   | Total number of brightness temperature measurements acquired for each nodes corresponding to the selected soil moisture values.  |
| M_Ava  | Total number of brightness temperature measurements actually considered for the retrieval after initial filterings corresponding to the selected SM.   |
| N_Rfi_X<br>N_Rfi_Y   | Number of discarded brightness temperatures due to suspected RFI in the X and Y polarisations corresponding to the derived soil moisture.  |
| Min_Soil_Moisture<br>Max_Soil_Moisture<br>Min_Soil_Moisture_Dqx<br>Max_Soil_Moisture_Dqx | As several soil moisture data (and thus data quality indexes) are available per node and per day, the minimum and the maximum values are reported  |
| Soil_Moisture_Init_Val<br>Soil_Moisture_Init_Std   | Initial value and the standard deviation for free parameter soil moisture corresponding to the derived soil moisture   |
| Gqx<br>Gqx2  | Global quality indexes associated with the soil moisture values and the dielectric constant values, respectively.  |
| Event_Flags  | Flag about event detection: This parameter is coded in 16 bits using the following convention:<br>00 Not verified<br>01 : Possible<br>10 : Sure<br>11 : Not processed<br>The first two ones (FL_EV_Frost_Soil) are raised to show the possible existence of ground frozen,<br>the 14 other ones are spare bits padded with 0.<br><i>e.g.: "0000000000000010" (=2 in a decimal system) means that frost has been clearly detected on the ground</i> |
| Rfi_Prob   | RFI probability: total number of RFI detected on a large period divided by the total number of brightness temperature measurements acquired on the same period.  |

## 5 3-DAY SM-VOD PRODUCT

### 5.1 Product Description

The 3-day global product of soil moisture is an aggregation of daily global maps of soil moisture and its associated parameters over a 3 day moving window. The whole Earth's surface is covered in this 3-day product. This product is based on the best soil moisture retrievals (minimisation of the DQX). The distinction between ascending and descending orbits is kept.

### 5.2 Naming Convention

All the files are named as follows:

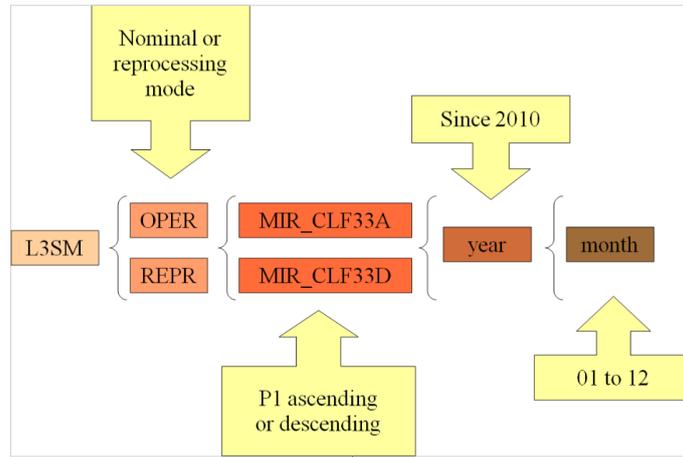
"SM\_OPER\_MIR\_CLF33x\_yyyymmddThhmmss\_YYYYMMDDTHHMMSS\_vvv\_ccc\_n", where the conventions are very close to those of SMOS Level 2.

Table 8: Naming Description of the 3-day SM-VOD Product

|                |   |
|----------------|---|
| SM             | in this specific case, it stands for the SMOS mission   |
| OPER<br>REPR   | file class: indicates whether the data is produced in a nominal or a reprocessing mode  |
| MIR            | file category: MIRAS, as the name of the instrument   |
| CLF33x         | C = CATDS<br>L = Product over Land<br>F = for full polarisation<br>33 = Level 3, 3 stands for 3-day global maps<br>x = "A" for ascending orbit and "D" for descending orbit |
| yyymmddThhmmss | sensing start time for the data contained in the product<br>With yyyy year<br>mm month<br>dd day of the month<br>hh hour<br>mm minutes<br>ss seconds                        |
| vvv            | version number of the processor generating the product  |
| ccc            | file counter, used to make distinction among products having all other filename identifiers identical: the higher the file counter, the more recent the product             |
| n              | processing site (C-PDC=7, C-EC SM=8, C-EC OS=9)   |

### 5.3 Where to find these products

These products can be found on the CATDS website (<http://catds.fr>), organised as follows: SM/GRIDDED/L3SM/OPER/product type/year/month, where product type can be MIR\_CLF33A or MIR\_CLF33D depending on orbits type.



## 5.4 Product content

Table 9: Content of the 3-Day Product

| <i>Variable Name</i>   | <i>Description</i>  |
|--|---|
| lat and lon  | Geographic coordinates: Vectors of latitudes and longitudes (in degree) of the grid point. The dimensions of "lat" and "lon" are 584x1 and 1388x1 respectively, corresponding to the 584x1388 nodes of the EASE version 2, 25km cylindrical grid.   |
| <i>The 2 following parameters are presented as a 584x1388 matrix</i> |   |
| Nb_Sm  | Number of available soil moisture estimates obtained over the 3 day period: It could vary from 0 to 3 if the point is successfully retrieved over the 3 days.   |
| Soil_Moisture<br>Soil_Moisture_Dqx                                   | Selected soil moisture value for the period:<br>The selected soil moisture value (in m <sup>3</sup> /m <sup>3</sup> ) with the smallest CHI2 is kept between all the values (maximum 3) available during the period for one DGG and its associated data quality index.  |
| Nominal_Fraction   | Nominal fraction associated with the selected soil moisture values.   |
| Optical_Thickness_Nad<br>Optical_Thickness_Nad_Dqx                   | Vegetation opacity and its DQX corresponding to the selected SM   |
| Science_Flags  | Flags associated with the selected soil moisture values   |
| Event_Flags  | Flag about event detection<br>This parameter is coded in 16 bits, using the following convention:<br>00 : Not verified<br>01 : Possible<br>10 : Sure<br>11 : Not processed<br>The first two ones (FL_EV_Frost_Soil) are raised to show the possible existence of ground frozen, and the 14 other ones are spare bits padded with 0 e.g. "0000000000000010" (=2 in a decimal system) means that frost has been |

*Continued on n*

*clearly detected on the ground.*

Gqx

Global quality index associated with the selected soil moisture value.

Mean\_Acq\_Time\_Days

Time of measurement in UTC as Day and second of mean acquisition time, per D  
corresponding to the selected soil moisture value.

Mean\_Acq\_Time\_Seconds

Day count begins on the 1st of January 2000  
seconds and microseconds are relative to the current day in UTC

Dielectric\_Const

Real and imaginary parts of the dielectric constant retrieved from the  
cardioid model (MD) in  $Fm^{-1}$  and their associated data quality index

Dielectric\_Const\_Dqx

The dimensions of this parameter are 584x1388x2 (for real and imaginary parts).

list\_of\_data\_set

This is the list of the daily products used in the processing.

## 6 3-DAY DIELECTRIC CONSTANT PRODUCT

### 6.1 Product Description

The 3-day global product of the dielectric constant is an aggregation of daily maps of dielectric constant and its associated parameters over 3 days moving window. The whole Earth's surface is covered in this 3-day product. This product is based on the best dielectric constant retrievals (minimisation of the DQX). The distinction between ascending and descending orbits is kept.

### 6.2 Naming Convention

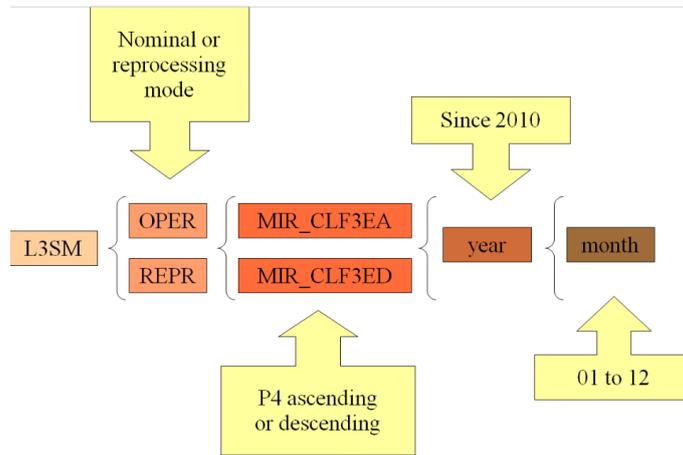
All the files are named as follows:  
"SM\_OPER\_MIR\_CLF3Ex\_yyyymmddThhmmss\_YYYYMMDDTHHMMSS\_vvv\_ccc\_n", where the conventions are very close to those of SMOS Level 2.

Table 10: Naming Description of the Daily SM-VOD Product

|                |   |
|----------------|---|
| SM             | in this specific case, it stands for the SMOS mission   |
| OPER<br>REPR   | file class: indicates whether the data is produced in a nominal or a reprocessing mode  |
| MIR            | file category: MIRAS, as the name of the instrument   |
| CLF3Ex         | C = CATDS<br>L = Product over Land<br>F = for full polarisation<br>3E = Level 3, E stands for dielectric, 3-day dielectric constant global maps<br>x = "A" for ascending orbit and "D" for descending orbit |
| yyymmddThhmmss | sensing start time for the data contained in the product<br>With yyyy year<br>mm month<br>dd day of the month<br>hh hour<br>mm minutes<br>ss seconds  |
| vvv            | version number of the processor generating the product  |
| ccc            | file counter, used to make distinction among products having all other filename identifiers identical: the higher the file counter, the more recent the product   |
| n              | processing site (C-PDC=7, C-EC SM=8, C-EC OS=9)   |

### 6.3 Where to find these products

These products can be found on the CATDS website (<http://catds.fr>), organised as follows:  
SM/GRIDDED/L3SM/OPER/product type/year/month,  
where product type can be MIR\_CLF3EA or MIR\_CLF3ED depending on orbits type.



## 6.4 Product content

Table 11: Content of 3-Day Dielectric Constant Product

| <i>Variable Name</i>   | <i>Description</i>   |
|--|--|
| lat and lon  | Geographic coordinates: Vectors of latitudes and longitudes (in degree) of the grid point. The dimensions of "lat" and "lon" are 584x1 and 1388x1 respectively, corresponding to the 584x1388 nodes of the EASE version 2, 25km cylindrical grid.  |
| <i>The 2 following parameters are presented as a 584x1388 matrix</i> |  |
| Dielectric_Const<br>Dielectric_Const_Dqx                             | Dielectric constant: Real and imaginary parts of the dielectric constant retrieved from the cardioid model (MD) respectively a non cardioid model (Non MD), in $Fm^{-1}$ , and their associated data quality index. The dimensions of this parameter are 584x1388x2 (for real and imaginary parts). Between all the values (maximum 3) available for one DGG, the value with the smallest CHI2 is kept in the 3-day product for Dielectric Constant with its associated Dqx. |
| Dielect_Const_Module<br>Dielect_Const_Module_Dqx                     | the module of dielectric constant<br>the module of Dqx of dielectric constant  |
| Optical_Thickness_Nad<br>Optical_Thickness_Nad_Dqx                   | Vegetation opacity: Once the dielectric constant value has been selected between the available retrievals the associated optical thickness estimated for vegetation layer (in neper) and its associated quality index are reported, namely Optical_Thickness_Nad2 and Optical_Thickness_Nad_Dqx2 from the daily product  |
| Mean_Acq_Time_Days<br>Mean_Acq_Time_Seconds                          | Time of measurement in UTC: Day and second of mean acquisition time, per DGG corresponding to the selected dielectric constant values. Day count begins on the 1st of January 2000 seconds and microseconds are relative to the current day in UTC   |
| Event_Flags  | Flag about event detection   |

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This parameter is coded in 16 bits, using the following convention:

00 : Not verified

01 : Possible

10 : Sure

11 : Not processed

The first two ones (FL\_EV\_Frost\_Soil) are raised to show the possible existence of ground frozen, and the 14 other ones are spare bits padded with 0 *e.g. "0000000000000010" (=2 in a decimal system) means that frost has been clearly detected on the ground.*

list\_of\_data\_set

This is the list of the daily products used in the processing.

## 7 10-DAY PRODUCT

### 7.1 Product Description

The 10-day global product is a 10-days aggregation of daily global maps. Three products are obtained per month whereof the last one has a duration of 8 to 11 days. Each product contains the median, minimum and maximum values of soil moisture and its associated parameters over the 10 days. The distinction between ascending and descending orbits is kept.

### 7.2 Naming Convention

All the files are named as follows:

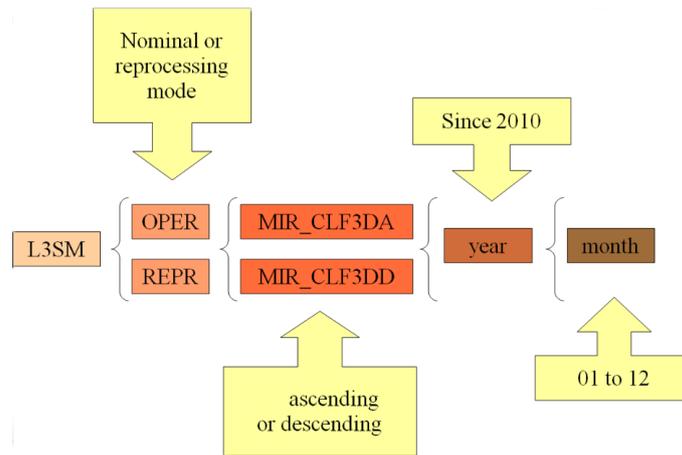
"SM\_OPER\_MIR\_CLF3Dx\_yyyymmddThhmmss\_YYYYMMDDTHHMMSS\_vvv\_ccc\_n", where the conventions are very close to those of SMOS Level 2.

Table 12: Naming Description of the Daily SM-VOD Product

|                |   |
|----------------|---|
| SM             | in this specific case, it stands for the SMOS mission   |
| OPER<br>REPR   | file class: indicates whether the data is produced in a nominal or a reprocessing mode  |
| MIR            | file category: MIRAS, as the name of the instrument   |
| CLF3Dx         | C = CATDS<br>L = Product over Land<br>F = for full polarisation<br>3D = Level 3, D stands for 10-days global maps<br>x = "A" for ascending orbit and "D" for descending orbit |
| yyymmddThhmmss | sensing start time for the data contained in the product<br>With yyyy year<br>mm month<br>dd day of the month<br>hh hour<br>mm minutes<br>ss seconds                          |
| vvv            | version number of the processor generating the product  |
| ccc            | file counter, used to make distinction among products having all other filename identifiers identical: the higher the file counter, the more recent the product               |
| n              | processing site (C-PDC=7, C-EC SM=8, C-EC OS=9)   |

### 7.3 Where to find these products

These products can be found on the CATDS website (<http://catds.fr>), organised as follows: SM/GRIDDED/L3SM/OPER/product type/year/month, where product type can be MIR\_CLF3DA or MIR\_CLF3DD depending on orbits type.



## 7.4 Product content

Table 13: Content of the 10-day SM-VOD Product

| <i>Variable Name</i>  | <i>Description</i>  |
|---|---|
| lat and lon   | Geographic coordinates: Vectors of latitudes and longitudes (in degree) of the grid point. The dimensions of "lat" and "lon" are 584x1 and 1388x1 respectively, corresponding to the 584x1388 nodes of the EASE version 2, 25km cylindrical grid. |
| <i>The 2 following parameters are presented as a 584x1388 matrix</i>  |   |
| Nb_Sm   | Number of available soil moisture estimates obtained over the 10-day period. It could vary from 0 to 11 (last 10 days can be 8 to 11 days) if the point is successfully retrieved for the 10 days   |
| Gqx   | This is the global quality index  |
| <i>All the following parameters have 3 dimensions: 584x1388x3 (med_min_max = 3) corresponding to the median, the minimum, and the maximum SM obtained over the 10-day period.</i> |   |
| Soil_Moisture   | This is the median, minimum and maximum value of soil moisture (in $m^3 \cdot m^{-3}$ )   |
| Soil_Moisture_Dqx   | retrieved over the 10 days, and its associated data quality index.  |
| Mean_Acq_Time_Days  | Time of measurement Day and second of mean acquisition time, per DGG node   |
| Mean_Acq_Time_Seconds   | Day count begins on the 1st of January 2000. seconds and microseconds are relative to the current day in UTC  |
| Optical_Thickness_Nad   | nadir optical thickness estimate for vegetation layer (in neper)  |
| Optical_Thickness_Nad_Dqx   | its associated data quality index   |
| Hr  | the retrieved roughness   |
| Hr_Dqx  | its associated quality index  |
| Scattering_Albedo_H   | Spare, not retrieved at the moment  |
| Diff_Albedos  |   |

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Dqx

Nominal\_Fraction                      Fraction of nominal surface

Science\_Flags                         They are the flags associated with the median, the minimum  
and the maximum values of SM

list\_of\_data\_set                        This is the list of the daily products used in the processing.

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## 8 MONTHLY PRODUCT

### 8.1 Product Description

The monthly global product of soil moisture is a monthly average of daily global maps. It provides a mean retrieved soil moisture weighted by their accuracy (DQX), vegetation optical thickness (separated for lower vegetation and forest), RFI statistics over a month, without taking into account estimations affected by detected event (only frost for the moment) in the daily product. It can be useful for climate monitoring. The distinction between ascending and descending orbits is kept.

### 8.2 Naming Convention

All the files are named as follows:

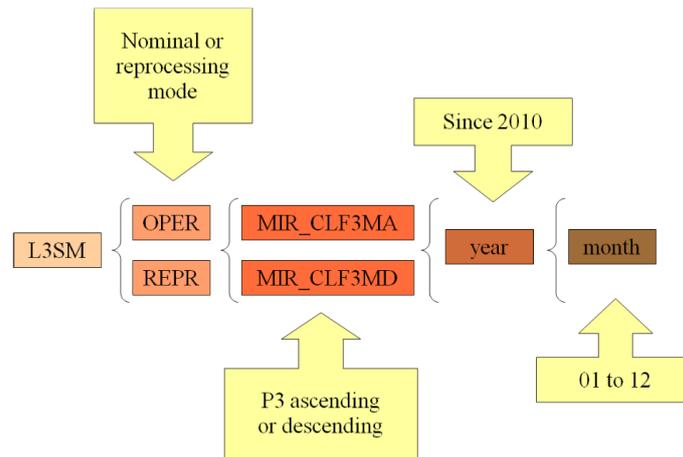
"SM\_OPER\_MIR\_CLF3Mx\_yyyymmddThhmmss\_YYYYMMDDTHHMMSS\_vvv\_ccc\_n", where the conventions are very close to those of SMOS Level 2.

Table 14: Naming Description of the Monthly SM-VOD Product

|                |   |
|----------------|---|
| SM             | in this specific case, it stands for the SMOS mission   |
| OPER<br>REPR   | file class: indicates whether the data is produced in a nominal or a reprocessing mode  |
| MIR            | file category: MIRAS, as the name of the instrument   |
| CLF3Mx         | C = CATDS<br>L = Product over Land<br>F = for full polarisation<br>3M = Level 3, M stands for Monthly global maps<br>x = "A" for ascending orbit and "D" for descending orbit |
| yyymmddThhmmss | sensing start time for the data contained in the product<br>With yyyy year<br>mm month<br>dd day of the month<br>hh hour<br>mm minutes<br>ss seconds                          |
| vvv            | version number of the processor generating the product  |
| ccc            | file counter, used to make distinction among products having all other filename identifiers identical: the higher the file counter, the more recent the product               |
| n              | processing site (C-PDC=7, C-EC SM=8, C-EC OS=9)   |

### 8.3 Where to find these products

These products can be found on the CATDS website (<http://catds.fr>), organised as follows: SM/GRIDDED/L3SM/OPER/product type/year/month, where product type can be MIR\_CLF3MA or MIR\_CLF3MD depending on orbits type.



## 8.4 Product content

Table 15: Content of the monthly SM-VOD Product

| <i>Variable Name</i>   | <i>Description</i>   |
|--|--|
| lat and lon  | Geographic coordinates: Vectors of latitudes and longitudes (in degree) of the grid point. The dimensions of "lat" and "lon" are 584x1 and 1388x1 respectively, corresponding to the 584x1388 nodes of the EASE version 2, 25km cylindrical grid.  |
| <i>The 2 following parameters are presented as a 584x1388 matrix</i>         |  |
| Nb_Sm  | Number of available soil moisture estimates obtained over the month. It could vary from 0 to the number of days in the considered month if the point is successfully retrieved over the month.   |
| Soil_Moisture<br>Soil_Moisture_Dqx<br>Var_Soil_Moisture                      | Monthly mean soil moisture value (in m <sup>3</sup> /m <sup>3</sup> ) weighted by DQX which does not take into account the estimations affected by an event in the daily product. It corresponds only to data whose Event_Flags is = 0 its associated quadratic mean quality index and variance. |
| Optical_Thickness_Lv<br>Optical_Thickness_Lv_Dqx<br>Var_Optical_Thickness_Lv | Monthly mean nadir optical thickness value weighted by DQX for lower vegetation (in neper) which does not take into account the estimations affected by an event in the daily product, its associated quadratic mean quality index and variance.   |
| Optical_Thickness_Fo<br>Optical_Thickness_Fo_Dqx<br>Var_Optical_Thickness_Fo | Monthly mean nadir optical thickness value weighted by DQX for forest vegetation (in neper) which does not take into account the estimations affected by an event in the daily product, its associated quadratic mean quality index and variance.  |
| Nominal_Fraction<br>Forest_Fraction  | Mean nominal and forest fractions when the model is nominal or forest Mean is weighted by the soil moisture dqx value  |
| Gqx  | Mean global quality index where soil moisture is available   |

*Continued on next page*

|                  |   |
|------------------|---|
| Rfi_X_Frac       | Fractions of SMOS TB measurements contaminated by RFI |
| Rfi_Y_Frac       | in X and Y polarisations                              |
| list_of_data_set | List of the daily products used in the processing     |

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## 9 Description of FLAGS

### 9.1 Grid\_Point\_Mask

USGS Sea Flag:

[ X X X X : X X X 0 ] means that the pixel is not considered Sea in the USGS Land-Sea mask (water fraction below 95%)

[ X X X X : X X X 1 ] means that the pixel is considered Sea in the USGS Land-Sea mask (water fraction above 95%)

USGS Land Flag:

[ X X X X : X X 0 X ] means that the pixel is not considered Land in the USGS Land-Sea mask (water fraction above 10%)

[ X X X X : X X 1 X ] means that the pixel is considered Land in the USGS Land-Sea mask (water fraction below 10%)

USGS Mixed Flag:

[ X X X X : X 0 X X ] means that the pixel is not considered Mixed in the USGS Land-Sea mask (water fraction below 10% OR above 95%)

[ X X X X : X 1 X X ] means that the pixel is considered Mixed in the USGS Land-Sea mask (water fraction above 10% AND below 95%)

200km Coastal flag:

[ X X X X : 0 X X X ] means that the pixel has a distance from the coast of more than 200 Km (using the MERIS uncertainty map with its coasts extended to 200km)

[ X X X X : 1 X X X ] means that the pixel has a distance from the coast of less than 200 Km (using the MERIS uncertainty map with its coasts extended to 200km)

100km Coastal flag:

[ X X X 0 : X X X X ] means that the pixel has a distance from the coast of more than 100 Km (using the MERIS uncertainty map with its coasts extended to 100km)

[ X X X 1 : X X X X ] means that the pixel has a distance from the coast of less than 100 Km (using the MERIS uncertainty map with its coasts extended to 100km)

40km Coastal flag:

[ X X 0 X : X X X X ] means that the pixel has a distance from the coast of more than 40 Km (using the MERIS uncertainty map with its coasts extended to 40km)

[ X X 1 X : X X X X ] means that the pixel has a distance from the coast of less than 40 Km (using the MERIS uncertainty map with its coasts extended to 40km)

Min Sea-Ice flag:

[ X 0 X X : X X X X ] means that the pixel does not have the full 12 months bit ICE set in the ice mask defined in the L2 AUX\_DISTAN ADF

[ X 1 X X : X X X X ] means that the pixel have the full 12 months bit ICE set in the ice mask defined in the L2 AUX\_DISTAN ADF

Max Sea-Ice flag:

[ 0 X X X : X X X X ] means that the pixel does not have any 12 months bit ICE set in the ice mask

defined in the L2 AUX\_DISTAN ADF

[ 1 X X X : X X X X ] means that the pixel does have any 12 months bit ICE set in the ice mask defined in the L2 AUX\_DISTAN ADF